

Form PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
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GJE-230ASERIAL NO.  
09/700,821INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

APPLICANT(S): Mark Joseph Burk, Arne Gerlach

FILING DATE  
November 20, 2000GROUP ART UNIT  
not yet assigned

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER |   |   |   |   |   |   | DATE    | NAME  | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|---|---|---|---|---|---|---------|-------|-------|----------|-------------------------------|
| <i>QIP</i>           | AA | 5               | 7 | 8 | 3 | 7 | 1 | 5 | 7/21/98 | Pugin | 556   | 11       |                               |
|                      | AB |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AC |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AD |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AE |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AF |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AG |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AH |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AI |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AJ |                 |   |   |   |   |   |   |         |       |       |          |                               |
|                      | AK |                 |   |   |   |   |   |   |         |       |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|           |    | DOCUMENT NUMBER |   |   |   |   |   |   | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|-----------|----|-----------------|---|---|---|---|---|---|----------|---------|-------|----------|-------------|----|
|           |    |                 |   |   |   |   |   |   |          |         |       |          | YES         | NO |
| <i>lu</i> | AL | 9               | 8 | 2 | 8 | 0 | 7 | 4 | 12/19/97 | PCT     |       |          |             |    |
|           | AM |                 |   |   |   |   |   |   |          |         |       |          |             |    |
|           | AN |                 |   |   |   |   |   |   |          |         |       |          |             |    |
|           | AO |                 |   |   |   |   |   |   |          |         |       |          |             |    |
|           | AP |                 |   |   |   |   |   |   |          |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|           |    |   |
|-----------|----|---|
| <i>lu</i> | AR | Augustine, Robert, Setrak Tanielyan, Stephen Anderson and Hong Yang (1999) "A new technique for anchoring homogeneous catalysts" <i>Chem. Commun.</i> , pg. 1257-1258. (no month)   |
| <i>lu</i> | AS | Tani, Kazuhide <i>et al.</i> (1982) "Rh(I) Complexes Containing Fully Alkylated Mono- And Diphosphine Ligands As Highly Active Hydrogenation Catalysts For Carbonyl Compounds" <i>Chemistry Letters</i> pp 261-264, The Chemical Society of Japan. (no month) |
| <i>lu</i> | AT | Burk, Mark J., T. Gregory P. Harper, Jeffrey R. Lee, Christopher Kalberg (July 11, 1994) "Efficient Rhodium-Catalyzed Hydrogenation of Aldehydes and Ketones" <i>134a Tetrahedron Letters</i> 35(28):4963-4966. (no month)                                    |

EXAMINER

*Labille*

DATE CONSIDERED

*1/12/04*

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.